

Docket No. 217911US0CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Satoshi NIIYAMA, et al.

SERIAL NO: 10/028,787

GAU: 2871

FILED: December 28, 2001

EXAMINER: DUONG, THOI V.

FOR: LIQUID CRYSTAL OPTICAL ELEMENT AND TEST METHOD FOR ITS BOUNDARY LAYER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the attached Japanese Office Action issued October 17, 2006 and references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

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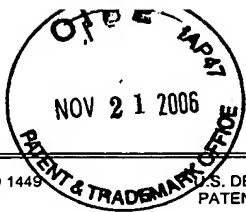
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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

217911US0CIP

SERIAL NO.

10/028,787

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Satoshi NIIYAMA, et al.

FILING DATE

December 28, 2001

GROUP

2871

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,235,445	08/10/1993	HIRAI, et al. (corresponds to JP-A-5-196925)			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	11-288008	10/19/1999	Japan (with English abstract and machine translation)	x	
	AP	05-196925	08/06/1993	Japan (with English abstract and machine translation, corresponds To US5,235,445)	x	
	AQ	07-104297	04/21/1995	Japan (with English abstract and machine translation)	x	
	AR	11-167126	06/22/1999	Japan (with English abstract and machine translation)	x	
	AS	08-114803	05/07/1996	Japan (with English abstract and machine translation)	X	
	AT	62-123425	06/04/1987	Japan (with English abstract)		
	AU	03-107925	05/08/1991	Japan (with English abstract)		
	AV	57-078518	05/17/1982	Japan (with English abstract)		

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.